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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**



Declaration  
Submitted  
With Initial  
Filing

OR



Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

CARR-P002-US (973466/1)

First Named Inventor

D. Carl CHRISTENSEN et al

COMPLETE IF KNOWN

Application Number

Filing Date

February 20, 2004

Art Unit

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SHIPPING PACKAGE SYSTEM FOR FRAGILE PANELS**

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
	US		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



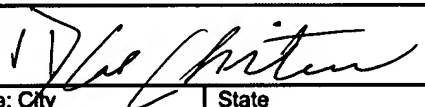
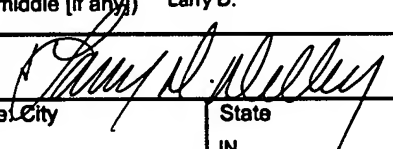
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 3]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

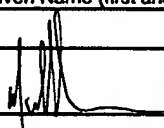
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/> Customer Number: <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span> OR <input checked="" type="checkbox"/> Correspondence address below			
Name Keith J. SWEDO			
Address Baker & Daniels 300 North Meridian Street, Suite 2700			
City Indianapolis		State IN	ZIP 46204
Country US	Telephone 317-237-1469	Fax 317-237-1000	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) D. Carl		Family Name or Surname CHRISTENSEN	
Inventor's Signature 		Date Feb 18 <sup>th</sup>	
Residence: City Peru	State IN	Country US	Citizenship US
Mailing Address 5584 South State Road 19			
City Peru	State IN	ZIP 46970	Country US
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Larry D.		Family Name or Surname DILLEY	
Inventor's Signature 		Date 2/19/04	
Residence: City Marion	State IN	Country US	Citizenship US
Mailing Address 610 North Tippy Drive			
City Marion	State IN	ZIP 46952	Country US
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet <div style="text-align: right;">Page <u>3</u> of <u>3</u></div>
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<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Mark A. 		NELSON	
Inventor's Signature <input checked="" type="checkbox"/>		Date <u>2-19-04</u>	
Residence: City Marion	State IN	Country US	Citizenship US
1111 West Euclid Avenue Mailing Address			
Mailing Address			
Marion City	IN State	46952 Zip	US Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

RECORDATION FORM COVER SHEET

PATENTS ONLY

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy there

1. Name of conveying party(ies):

D. Carl CHRISTENSEN  
Larry D. DILLEY  
Mark A. NELSON

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 02/18/2004, 02/19/2004, 02/19/2004

2. Name and address of receiving party(ies)

Name: Carroll Container Corporation

Internal Address: \_\_\_\_\_

Street Address: 2409 West 2nd Street

City: Marion State: IN Zip: 46952

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 02/20/2004

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Keith J. SWEDO

Internal Address: Baker & Daniels

Street Address: 300 North Meridian Street

Suite 2700

City: Indianapolis State: IN Zip: 46204

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number:

02-0390

DO NOT USE THIS SPACE

9. Signature.

Keith J. SWEDO, Reg. No. 43,176

Name of Person Signing

*Keith J. Swedo*  
Signature

February 20, 2004

Date

Total number of pages including cover sheet, attachments, and documents:

## **ASSIGNMENT**

WHEREAS, we, D. Carl Christensen, residing at 5584 South State Road 19, Peru, Indiana 46970, Larry D. Dilley, residing at 610 North Tippy Drive, Marion, Indiana 46952 and Mark. A. Nelson, residing at 1111 West Euclid Avenue, Marion, Indiana 46952 have invented certain improvements in:

### **SHIPPING PACKAGE SYSTEM FOR FRAGILE PANELS**

as described and claimed in an application for Letters Patent of The United States of America thereon, said application having been filed on February 20, 2004, and not yet assigned a U.S. serial number; and


WHEREAS, Carroll Container Corporation, a corporation organized and existing under the laws of the State of Indiana, having its principal place of business at 2409 West Second Street, Marion, Indiana 46952, USA, is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent;

NOW, THEREFORE, in consideration of the sum of ONE DOLLAR (\$1.00) to us in hand paid, and for other good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we do hereby assign, sell, transfer and set over unto said Carroll Container Corporation, its successors and assigns, the full, entire and exclusive right, title and interest, for the territory of the United States of America and for all foreign countries, in and to said invention, as described in the application identified above, and in and to said application and any divisions or continuations or continuations-in-part thereof or substitutes therefor which may be filed, and in and to any patents or reissues, renewals or extensions thereof which may be granted on said application or for said invention, and in and to any patent application which may be filed on said invention in countries foreign to the United States of America and any patents granted thereon; said invention, applications, and patents to be held and enjoyed by said Carroll Container Corporation, and its successors or assigns, to the full end of the term or terms for which said patents may be granted, as fully and entirely as the same would have been held by us had this assignment not been made.

We hereby authorize and request the Patent and Trademark Office officials in the United States of America and the Patent Office officials of any and all foreign countries to issue any and all of said patents, when granted to said Carroll Container Corporation, as the assignee of our entire right, title and interest in and to the same for the sole use and enjoyment of said Carroll Container Corporation, its successors and assigns.

Further, we agree to execute all papers and to give such lawful testimony and to perform such other lawful acts as said Carroll Container Corporation, its successors and assigns may require to enable it or them to procure a Letters Patent on said invention or reissues or extensions thereof in the United States of America and/or in any foreign country, and/or to hold, enforce or convey said Letters Patent, reissues or extensions.

Executed this 11<sup>th</sup> day of Feb, 2004.

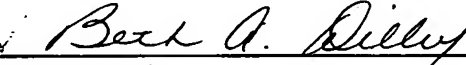
  
D. Carl Christensen

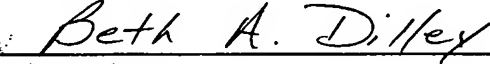
STATE OF IND)

) SS:

COUNTY OF Grant)

Before me, the undersigned, a Notary Public in and for said County and State, this day personally appeared the above-named inventor, to me well known, who acknowledged the execution of the foregoing instrument as his/her free act and deed.

  
Notary Public

  
Printed Name

My Commission Expires: 7-18-07

County of Residence: Grant

Executed this 19<sup>th</sup> day of February, 2004.

Larry D. Dilley  
Larry D. Dilley

STATE OF Indiana  
COUNTY OF Grant ) SS:

Before me, the undersigned, a Notary Public in and for said County and State, this day personally appeared the above-named inventor, to me well known, who acknowledged the execution of the foregoing instrument as his/her free act and deed.

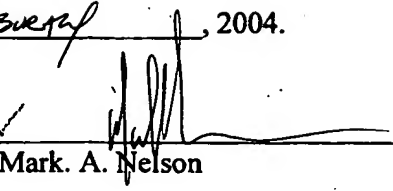
Beth A. Dilley  
Notary Public

Beth A. Dilley  
Printed Name

My Commission Expires: 7/18/07


County of Residence: Grant

Executed this 19 day of February, 2004.

  
Mark. A. Nelson

STATE OF Indiana  
COUNTY OF Grant ) SS:

Before me, the undersigned, a Notary Public in and for said County and State, this day personally appeared the above-named inventor, to me well known, who acknowledged the execution of the foregoing instrument as his/her free act and deed.

  
Notary Public

Beth A. Dickey  
Printed Name

My Commission Expires: 7/18/07

County of Residence: Grant